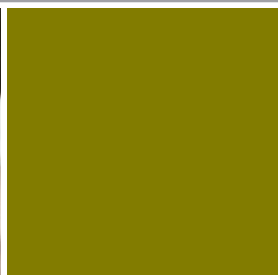


Expertise to help protect **financial institutions**.
Foresight to stay ahead of emerging risks.



We can show you more.®

FINANCIAL INSTITUTIONS



As a market leader with over 100 years of experience and an “A” rating for financial strength, we understand the multitude of challenges and unique exposures of the financial industry. CNA’s unparalleled service, expertise and comprehensive products are designed to meet all of your customers’ property, casualty and specialty insurance needs. With our local underwriters, claim professionals and risk control consultants working together to provide full-service enterprise risk management solutions, we make it easier for you to focus on the needs of your customers.

Targeted Account Profile	Public and Private Institutions
Asset Managers	Investment advisors, mutual funds, hedge funds; assets under management greater than \$50M
Private Equity	Private Equity Firms, Real Estate Investment Trust (REITS), Real Estate Funds, Venture Capital Firms; of all types
Banks	Banks with more than \$5B in assets, including regional and money center banks
Community Banks	Commercial banks, thrifts and savings banks with assets up to \$5B, including de novo institutions
Finance Companies	Specialty finance companies and other non-depository financial institutions
Credit Unions	Credit unions of all sizes, corporate credit unions and CUSOs
Insurance Companies	More than \$10M surplus and A.M. Best rating B++ or higher; property & casualty carriers, life and accident & health (A&H) carriers, reinsurers, stock and mutual corporations
Life Agents	Broker Dealers and Insurance Companies with a mid to large independent field force

CNA offers a broad range of property, casualty and specialty products for Financial Institutions:

- Management Liability
- Professional Liability
- Crime/Fidelity Bond
- Cyber Liability
- Property
- Foreclosed/Force Placed Properties
- General Liability
- Workers’ Compensation
- Commercial Umbrella
- Inland Marine
- Mortgagee’s Errors & Omissions / Impairment
- Commercial Auto, including Repossessed Autos
- Kidnap, Ransom & Extortion

Featured Specialty Products	Coverage Advantages	Maximum Limits Available
Directors & Officers	<ul style="list-style-type: none"> Individual, reimbursement and entity securities coverage; full-entity coverage available for select privately held institutions Built-in enhancements including debtor in possession coverage, "best efforts" allocation, domestic partners coverage, and broad definition of claim 	\$15M
Individual Solutions Side-A DIC	<ul style="list-style-type: none"> Coverage for non-indemnifiable claims against directors and officers with DIC drop down feature Recognizes exhaustion of underlying limits by either the underlying insurer or insured Coverage includes a broad definition of insured persons, punitive damages coverage where insurable by law, and the policy is fully non-rescindable and non-cancellable except for nonpayment of premium 	\$25M
Errors & Omissions	<ul style="list-style-type: none"> Industry-specific policies including Bankers Professional Liability (Lender Liability available by endorsement), Insurance Company Professional Liability, and Investment Advisor and Fund Professional Liability Non-cancellable by insured except for nonpayment of premium, worldwide coverage, and broad definitions of claim, loss and insured person 	\$15M
Fiduciary Liability	<ul style="list-style-type: none"> Provides protection to the sponsor for its fiduciary liability due to the administration of pension and welfare plans, including protection for ERISA violations Two year / two aggregate limits option available 	\$15M
Employment Practices Liability	<ul style="list-style-type: none"> Covers entity, directors, officers, employees of named insureds for wrongful employment practices Policy highlights include non-duty to defend, no panel counsel requirement, and full settlement authority under the retention 	\$15M
Fidelity, Mutual Fund Bond / ERISA	<ul style="list-style-type: none"> The Surety & Fidelity Association of America (SFAA) Forms 14, 24, 25 and Mutual Funds Bond ERISA Bond 	\$15M \$25M
Excess Policy	<ul style="list-style-type: none"> Admitted in most states; provides true "follow form coverage" that is streamlined and straightforward Exhaustion of underlying insurance is incorporated and inclusive of market-leading erosion language (includes payments "by or on behalf of insureds") 	\$15M
Cyber Liability	<ul style="list-style-type: none"> Third-party liability coverage related to Privacy Injury, Network Security and Content Injury First-party coverage for Network Extortion, Electronic Theft, and Network Loss or Damage Reimbursement coverage subject to no deductible for Public Relations Event Expense and Notification Costs for complying with certain security breach notice laws 	\$10M

For additional information, visit www.cna.com/financialinstitutions or contact your local CNA underwriter.

