As a market leader with over 100 years of experience and an "A" rating for financial strength, we understand the multitude of challenges and unique exposures of the financial industry. CNA’s unparalleled service, expertise and comprehensive products are designed to meet all of your customers’ property, casualty and specialty insurance needs. With our local underwriters, claim professionals and risk control consultants working together to provide full-service enterprise risk management solutions, we make it easier for you to focus on the needs of your customers.

Expertise to help protect financial institutions. Foresight to stay ahead of emerging risks.

<table>
<thead>
<tr>
<th>Targeted Account Profile</th>
<th>Public and Private Institutions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Asset Managers</td>
<td>Investment advisors, mutual funds, hedge funds; assets under management greater than $50M</td>
</tr>
<tr>
<td>Private Equity</td>
<td>Private Equity Firms, Real Estate Investment Trust (REITS), Real Estate Funds, Venture Capital Firms; of all types</td>
</tr>
<tr>
<td>Banks</td>
<td>Banks with more than $5B in assets, including regional and money center banks</td>
</tr>
<tr>
<td>Community Banks</td>
<td>Commercial banks, thrifts and savings banks with assets up to $5B, including de novo institutions</td>
</tr>
<tr>
<td>Finance Companies</td>
<td>Specialty finance companies and other non-depository financial institutions</td>
</tr>
<tr>
<td>Credit Unions</td>
<td>Credit unions of all sizes, corporate credit unions and CUSOs</td>
</tr>
<tr>
<td>Insurance Companies</td>
<td>More than $10M surplus and A.M. Best rating B++ or higher; property &amp; casualty carriers, life and accident &amp; health (A&amp;H) carriers, reinsurers, stock and mutual corporations</td>
</tr>
<tr>
<td>Life Agents</td>
<td>Broker Dealers and Insurance Companies with a mid to large independent field force</td>
</tr>
</tbody>
</table>

CNA offers a broad range of property, casualty and specialty products for Financial Institutions:

- Management Liability
- Professional Liability
- Crime/Fidelity Bond
- Cyber Liability
- Property
- Foreclosed/Force Placed Properties
- General Liability
- Workers’ Compensation
- Commercial Umbrella
- Inland Marine
- Mortgagee’s Errors & Omissions / Impairment
- Commercial Auto, including Repossessed Autos
- Kidnap, Ransom & Extortion
<table>
<thead>
<tr>
<th>Featured Specialty Products</th>
<th>Coverage Advantages</th>
<th>Maximum Limits Available</th>
</tr>
</thead>
</table>
| **Directors & Officers**   | • Individual, reimbursement and entity securities coverage; full-entity coverage available for select privately held institutions  
• Built-in enhancements including debtor in possession coverage, “best efforts” allocation, domestic partners coverage, and broad definition of claim                                                                                                                                               | $15M                     |
| **Individual Solutions**   | **Side-A DIC**                                                                                                                                                                                                                                                                                                                                            | $25M                     |
|                            | • Coverage for non-indemnifiable claims against directors and officers with DIC drop down feature  
• Recognizes exhaustion of underlying limits by either the underlying insurer or insured  
• Coverage includes a broad definition of insured persons, punitive damages coverage where insurable by law, and the policy is fully non-rescindable and non-cancellable except for nonpayment of premium |                          |
| **Errors & Omissions**     |                                                                                                                                                                                                                                                                                                                                                         | $15M                     |
|                            | • Industry-specific policies including Bankers Professional Liability (Lender Liability available by endorsement), Insurance Company Professional Liability, and Investment Advisor and Fund Professional Liability  
• Non-cancellable by insured except for nonpayment of premium, worldwide coverage, and broad definitions of claim, loss and insured person |                          |
| **Fiduciary Liability**    | • Provides protection to the sponsor for its fiduciary liability due to the administration of pension and welfare plans, including protection for ERISA violations  
• Two year / two aggregate limits option available                                                                                                                                                                                                                                     | $15M                     |
| **Employment Practices Liability** | • Covers entity, directors, officers, employees of named insureds for wrongful employment practices  
• Policy highlights include non-duty to defend, no panel counsel requirement, and full settlement authority under the retention                                                                                                                                              | $15M                     |
| **Fidelity, Mutual Fund Bond / ERISA** | • The Surety & Fidelity Association of America (SFAA) Forms 14, 24, 25 and Mutual Funds Bond  
• ERISA Bond                                                                                                                                                                                                                                                                                             | $15M / $25M              |
| **Excess Policy**          | • Admitted in most states; provides true “follow form coverage” that is streamlined and straightforward  
• Exhaustion of underlying insurance is incorporated and inclusive of market-leading erosion language (includes payments “by or on behalf of insureds”)                                                                                                                                               | $15M                     |
| **Cyber Liability**        | • Third-party liability coverage related to Privacy Injury, Network Security and Content Injury  
• First-party coverage for Network Extortion, Electronic Theft, and Network Loss or Damage  
• Reimbursement coverage subject to no deductible for Public Relations Event Expense and Notification Costs for complying with certain security breach notice laws                                                                                                                                 | $10M                     |

For additional information, visit [www.cna.com/financialinstitutions](http://www.cna.com/financialinstitutions) or contact your local CNA underwriter.